

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> Sheet 1 of 3	ATTY. DOCKET NO. D5009-0002CPA	APPLICATION NO. 09/434,645
	FIRST NAMED APPLICANT Loeper	EXAMINER
	FILING DATE 11/05/1999	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
CRK	AA	6,085,175	Gugel et al.	07-04-2000	
CRK	AB	6,021,397	Jones et al.	02-01-2000	
CRK	AC	5,812,988	Sandretto	09-22-1998	
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CRK	AE	5,802,501	Graff	09-01-1998	
CRK	AF	5,774,881	Friend	06-30-1998	
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

a		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
CRK	AJ	ELLEN JOVIN, "New Software, New Sophistication", Financial Planning, pp. 1-6, 1998	
CRK	AK	"Planners Must Tailor Mutual Fund Mix to Suit Client", Financial Services Week, August 1990, pp.23 ISSN: 0895-8440	
CRK	AL	THE VANGUARD GROUP OF INVESTMENT COMPANIES, "Retirement Planner", Version 3.0 for Windows, Retirement Planning Software, 1995	
CRK	AM	KENNETH, et al., "Helping Employees Invest Wisely", HR Magazine v38n 11, PP: 77-82, November 1993, Dialog File 15, Accession No. 00794493	

EXAMINER SIGNATURE	<i>Charles R. J.</i>	DATE CONSIDERED	6/11/02
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> Sheet 2 of 3	ATTY. DOCKET NO. D5009-0002CPA	APPLICATION NO. 09/434,645
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CEK	AN	6,078,904	Rebane	06-20-2000	
CEK	AO	6,064,984	Ferguson et al.	05-16-2000	
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